



5G communications: development and prospects

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Osnabrück, Germany
11th May, 2016



Vision



“The advanced 5G infrastructure is expected to become the nervous system of the Digital Society and Digital Economy”

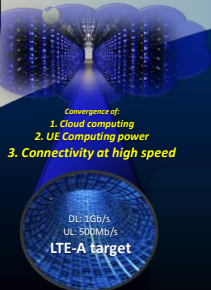
Günther Oettinger, European Commission, MWC 2016

“The smart phone is the extension of what we do and what we are, the mobile is the answer to pretty much everything”

Eric Smith, Google, MWC 2010



HUAWEI TECHNOLOGIES CO., LTD.
D. Soljani



2010

“Client Server”

→ Bit pipe and Free Communication Services



2020

“Multi-Tenant”

→ Nervous system of the Digital Society and Economy



Main 5G initiatives ongoing globally



<http://www.3gpp.org/technologies/presentations-white-papers>



EU

- 5G PPP in Horizon 2020 (€700mn)
- [White Paper](#)



UK

- 5GIC at University of Surrey
- <http://www.surrey.ac.uk/5gic>



US

- ✓ 4G (5G) Americas : [White Paper](#)



China

- ✓ IMT-2020 (5G) Promotion Group
- 863 Research Program
- Future Forum : [White Paper](#)



Japan

- ✓ 5G Promotion Forum (ARIB)
- [White Paper](#)



Korea

- ✓ 5G Forum as PPP
- [White Paper](#)



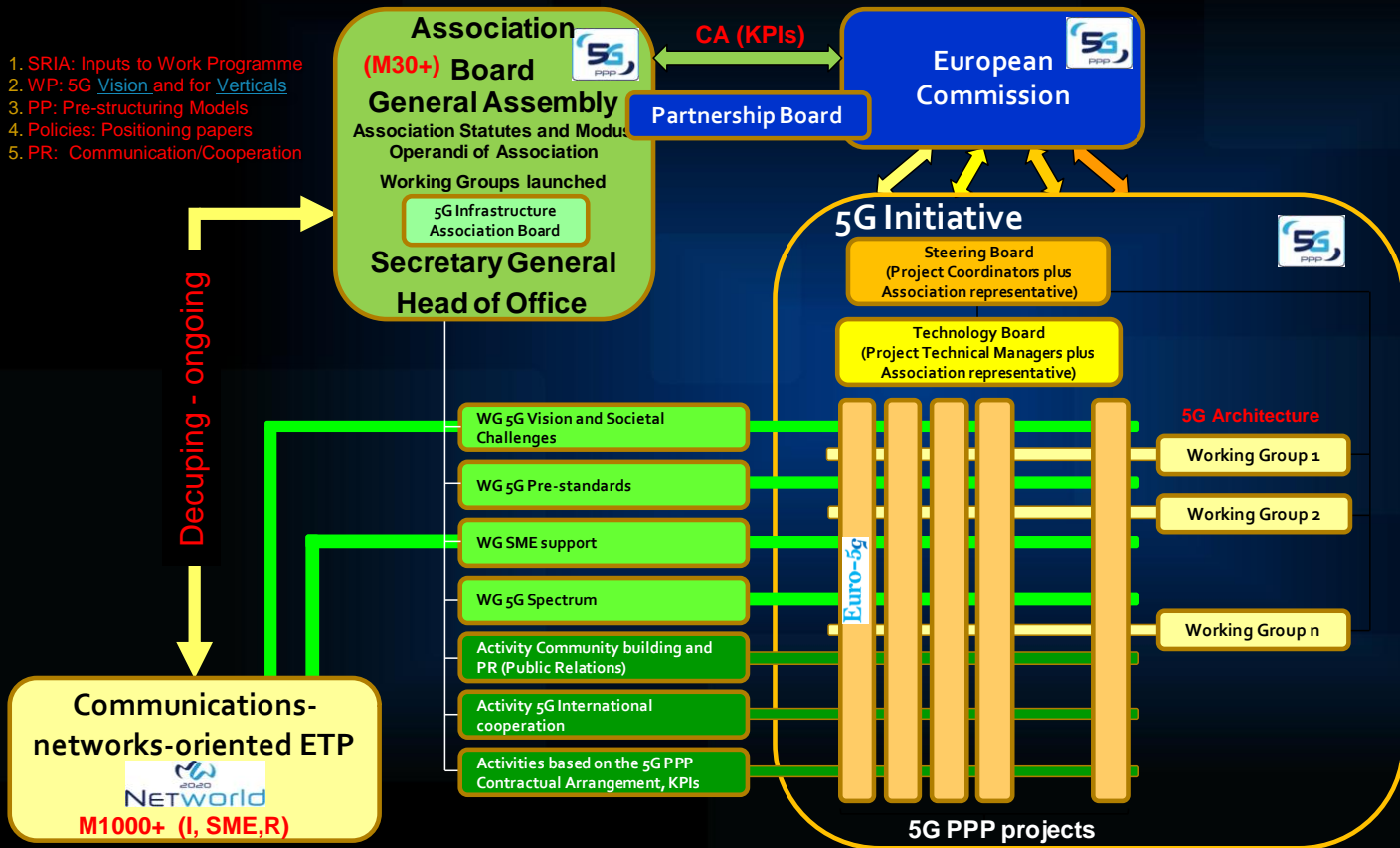
NGMN

- [White paper](#)

5G Public Private Partnership (PPP): €700 mn → €1.4+ bn

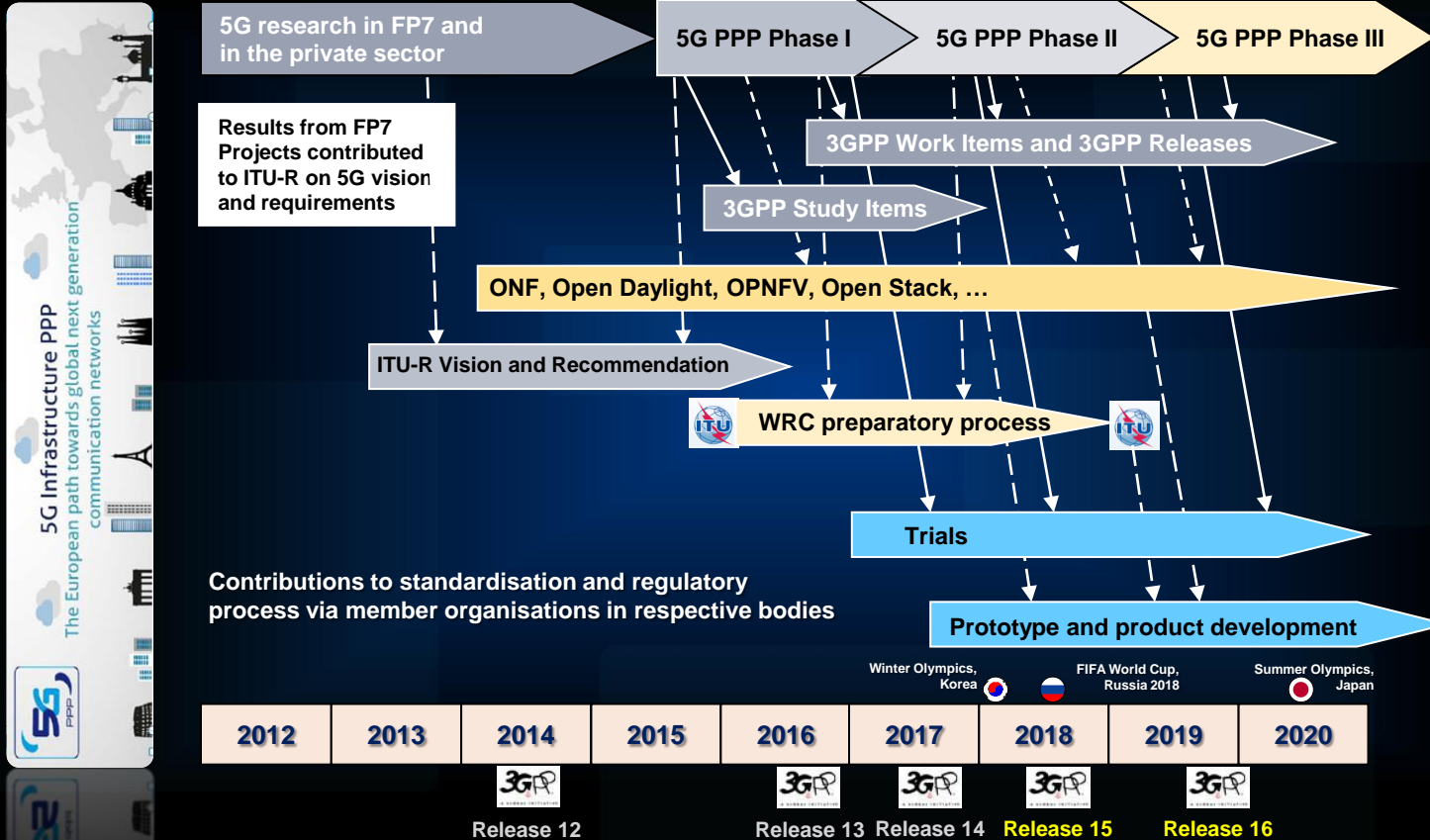
EU 5G socio-economic analysis: €56.6 bn 5G investment (EU28 Member States) → Value: €425.5 bn (7.5x), Jobs: 7.184 mn

1. SRIA: Inputs to Work Programme
2. WP: 5G Vision and for Verticals
3. PP: Pre-structuring Models
4. Policies: Positioning papers
5. PR: Communication/Cooperation

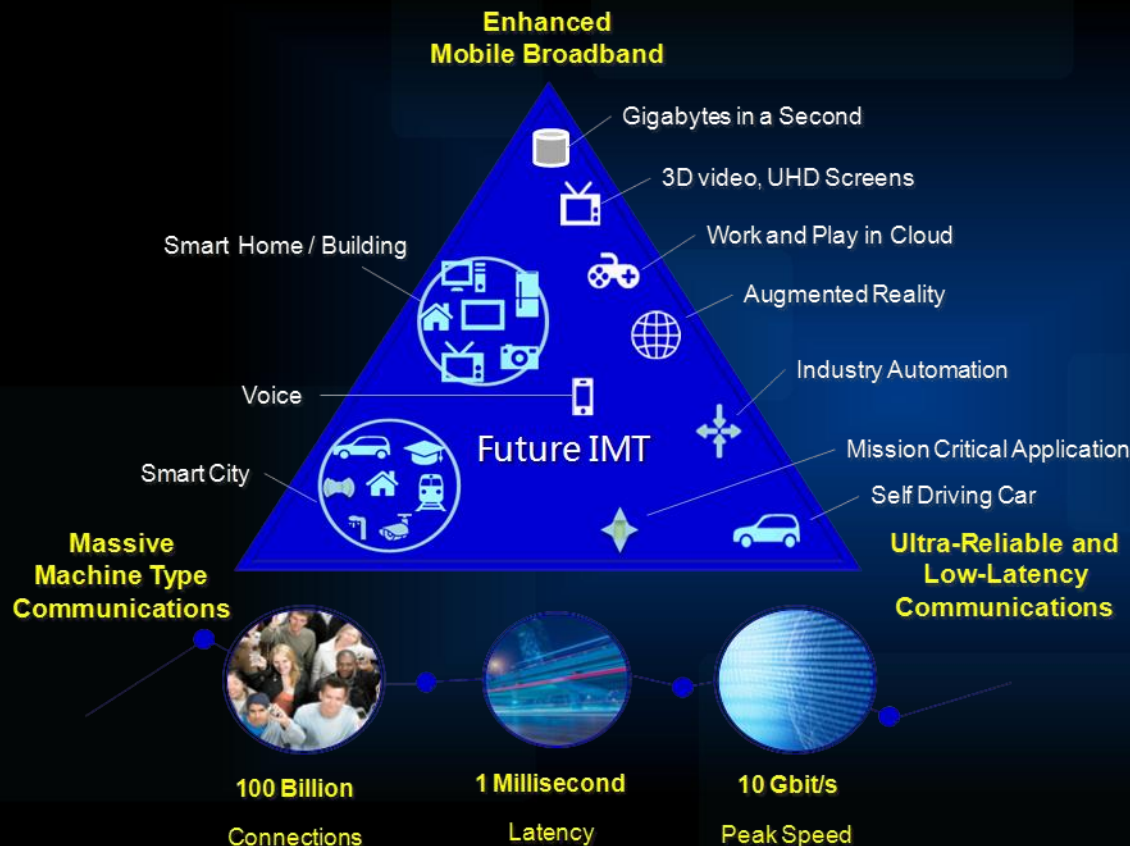


- **5G-PPP Phase III** (2018-20 EU Public funds €425mn): Large scale trials in Europe with Verticals
- **5G-PPP Phase II** (2017-18, EU Public funds €148mn): Verticals, Satellites, Optical, SW networks
- ✓ **5G-PPP Phase I** (2015-16, EU public funds €125mn): 19 retained Actions

5G-PPP: Exploitation of results



Usage scenarios of IMT for 2020 and beyond (5G)



New Air Interface



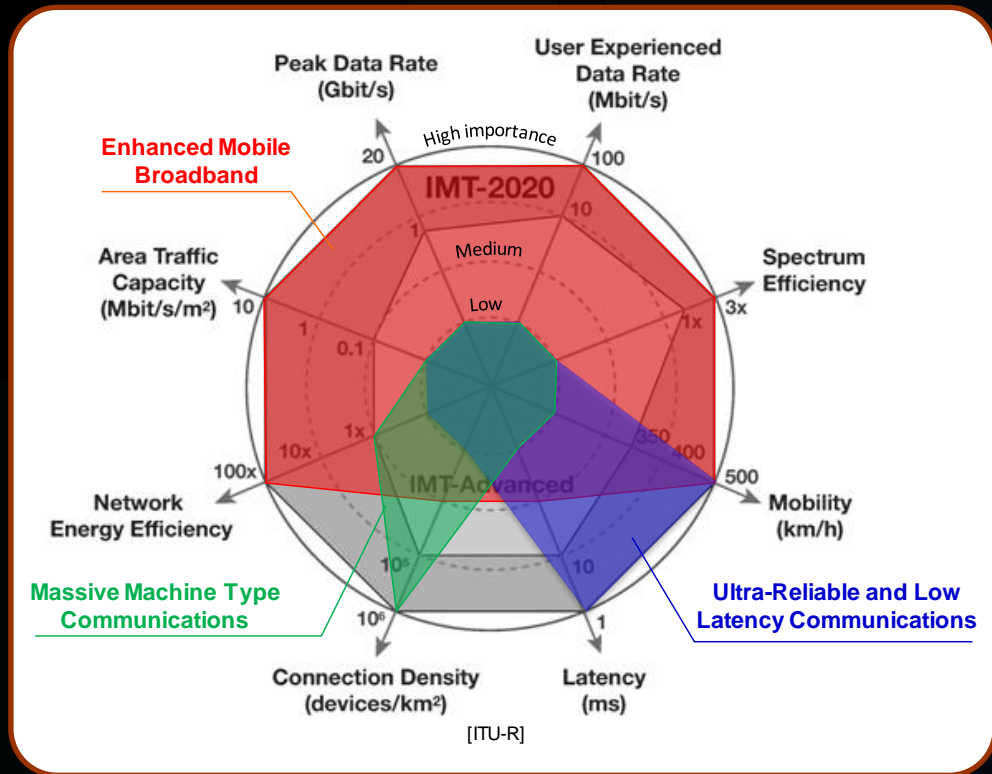
Flexibility & Spectrum Efficiency

New Architecture



One Physical Network
Multiple Industries

Enhancement of key capabilities from 3GPP LTE to 5G



New Radio requirements

KPI	value
Peak data rate	20Gbps DL 10Gbps UL
Peak Spectral efficiency	30bps/Hz - 15bps/Hz
Control plane latency	10ms
User plane latency	URLLC: 0.5ms UL&DL
Mobility interruption time	0 ms
Inter-system mobility	With other IMT systems
Reliability	URLLC: P=10-5 in 1ms
Coverage	mMTC 164dB
Extreme Coverage	100-400 km voice/low data
UE battery life	mMTC 15 years
Connection density	mMTC 1M device/km ²
Mobility	500 km/h



Summary of the key resolutions at WRC15 pertinent to 5G

WRC15

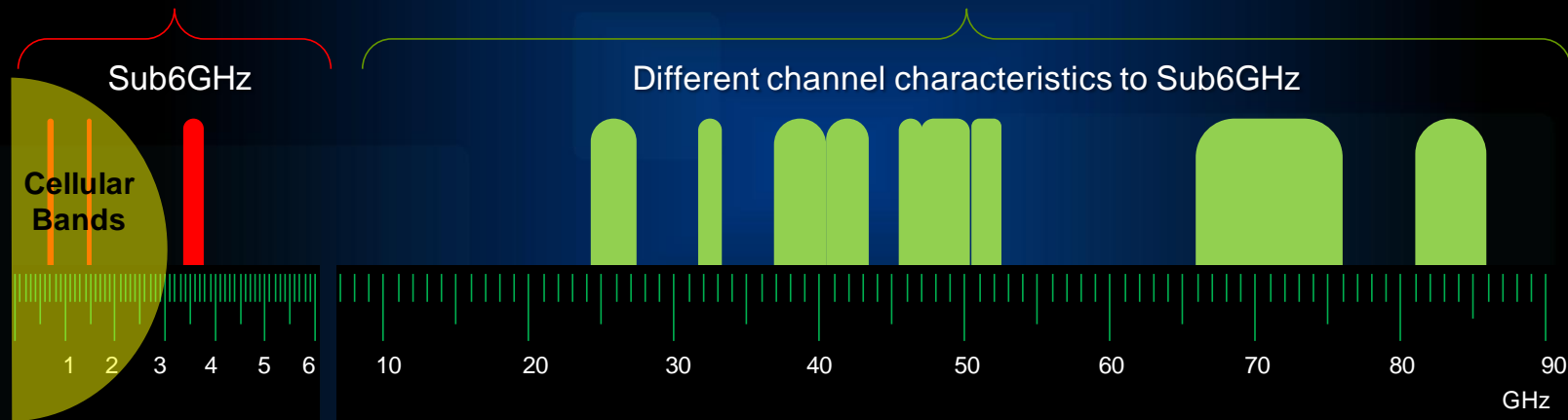
New or Harmonized bands for IMT Use


- 700MHz Band (694-790 MHz)
- L-Band (1427-1518 MHz)
- C-Band (3.4-3.8 GHz)

WRC19

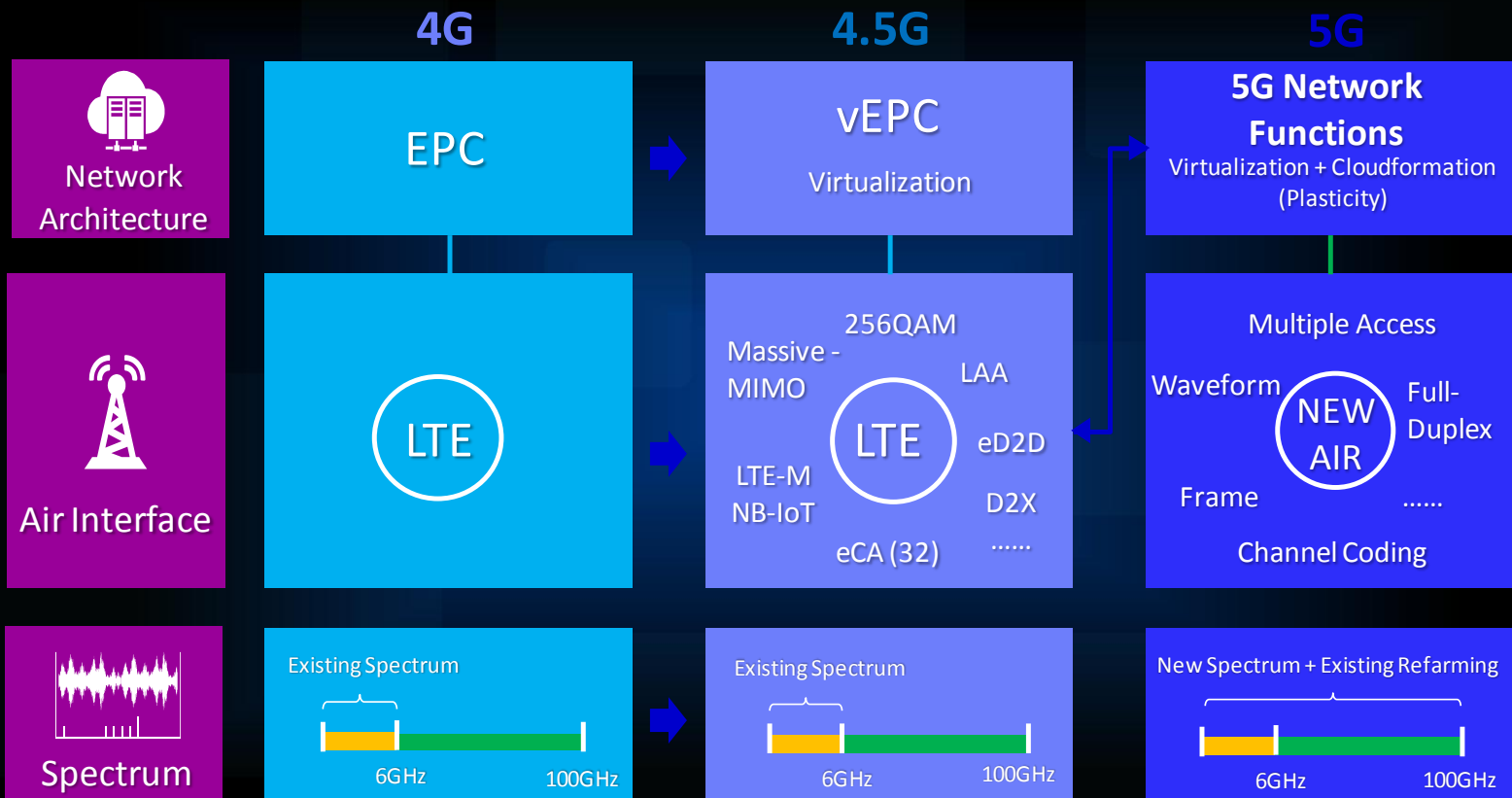
New bands agreed for discussions in 2019

- 24.25-27.5 GHz
- 31.8-33.4 GHz
- 37-40.5 GHz
- 40.5-43.5 GHz
- 45.5-47 GHz
- 47-50.2 GHz
- 50.4-52.6 GHz
- 66-76 GHz
- 81-86 GHz

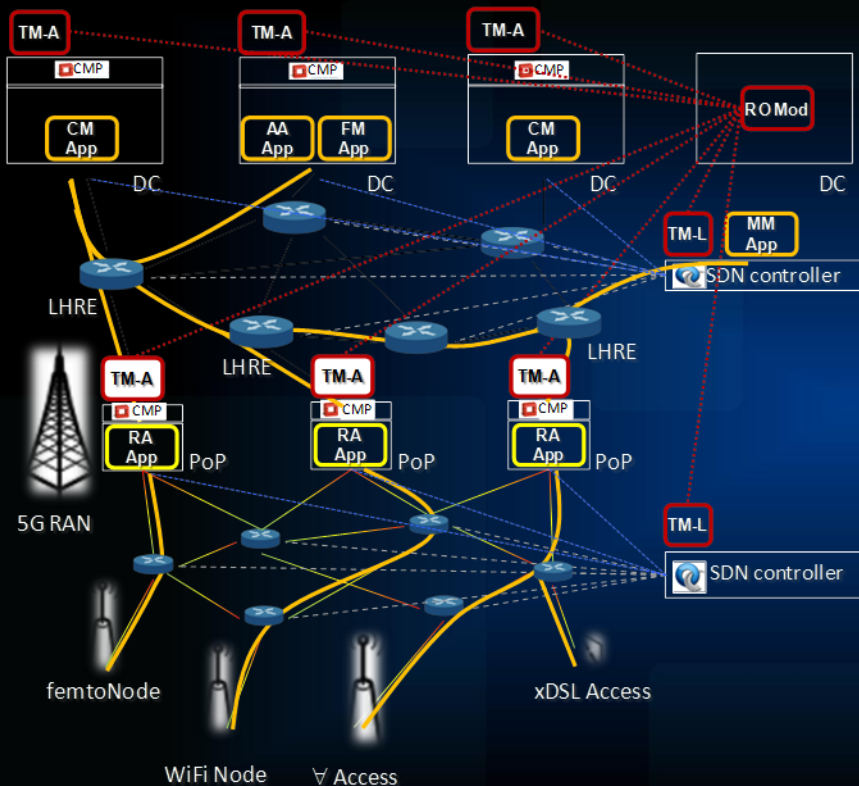


 A GLOBAL INITIATIVE	Attributes	Values or assumptions
	Carrier Frequency	around 30 GHz or around 70 GHz or Around 4 GHz
	Aggregated system bandwidth	Around 30GHz or Around 70GHz: Up to 1GHz (DL+UL) Around 4GHz: Up to 200MHz (DL+UL)

Network, air interface and spectrum usage evolution from 4G to 4.5G and 5G

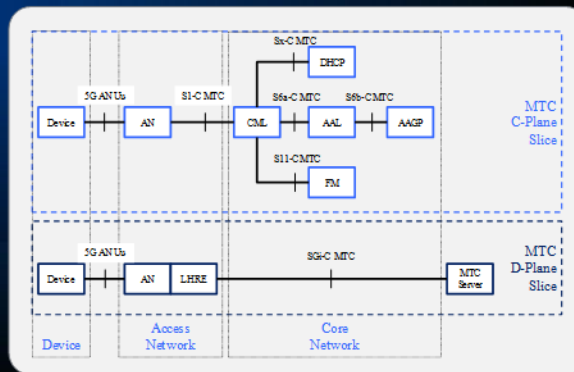


5G plastic architecture and example application to static machines type of traffic



PoP=Point of Presence (e.g. small Data Center); DC=Data Center; CMP=Cloud Management Platform (e.g. OpenStack)
SDN Platform=OpenFlowbased Control Platform (e.g. Floodlight); LHRE=Last Hop Routing Element

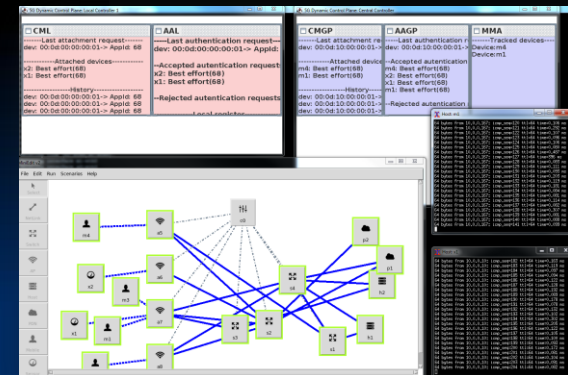
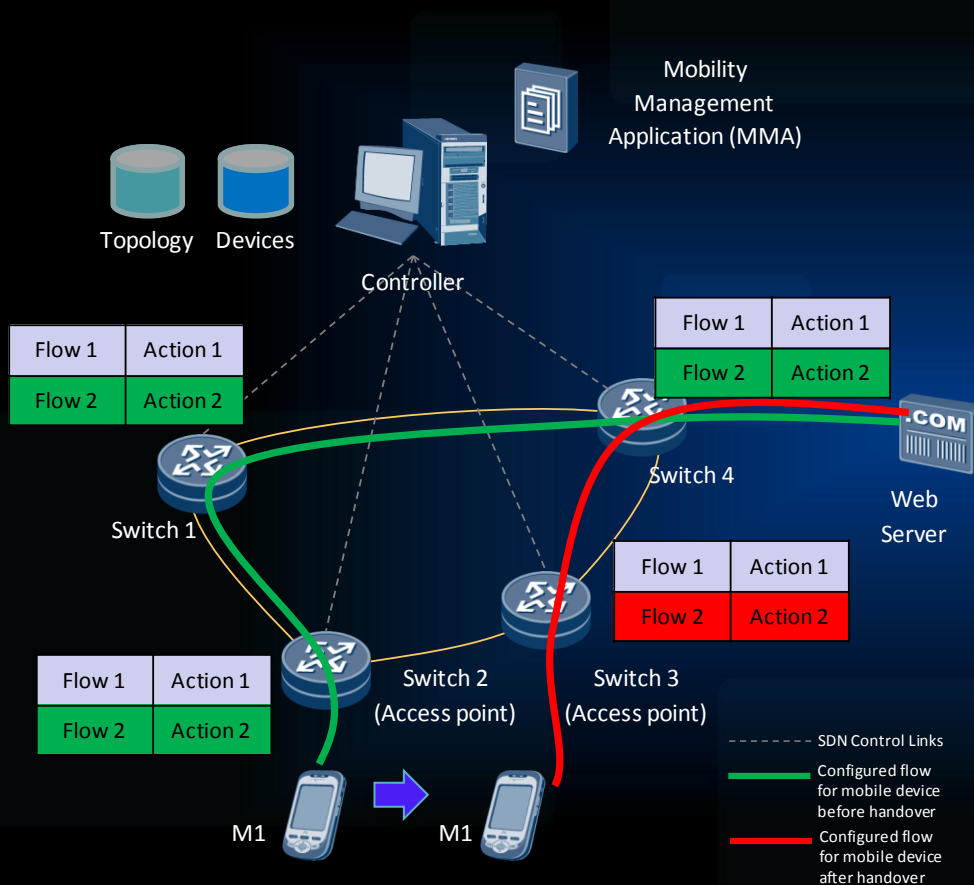
- = **Orchestration**
 RO: Apps and Links Control Plane (C-Plane)
 TM-A: Apps Enforcement /Maintenance
 TM-L: Links Enforcement /Maintenance
- = **Control plane**
 FM App: Links Data Plane (D-Plane)
- 5G C-Plane (Slice)
- Orchestration interfaces
- SDN Controller interface
- 5G App – SDN Controller interface



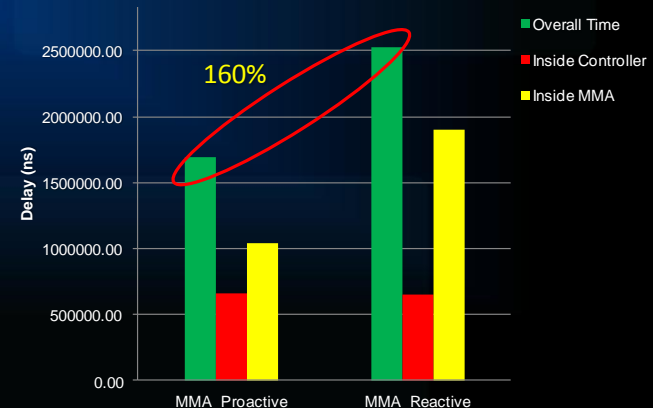
AN= generic Access Network element; CML=Connectivity Management Local function
 FM=Flow Management; AAL=Authentication and Authorization (AA) Local; GP=General Purpose
 DHCP=Dynamic Host Configuration Protocol function, e.g. Addresses, Sxx, Uu=3GPP Interfaces

Example application to static machines type of traffic

Mobility Management Application (MMA) for SDN: case study



- Topology: 10 Access Points, 200 active mobiles
- 10 Handovers/s with random mobility



High band non-standalone assisted by low band



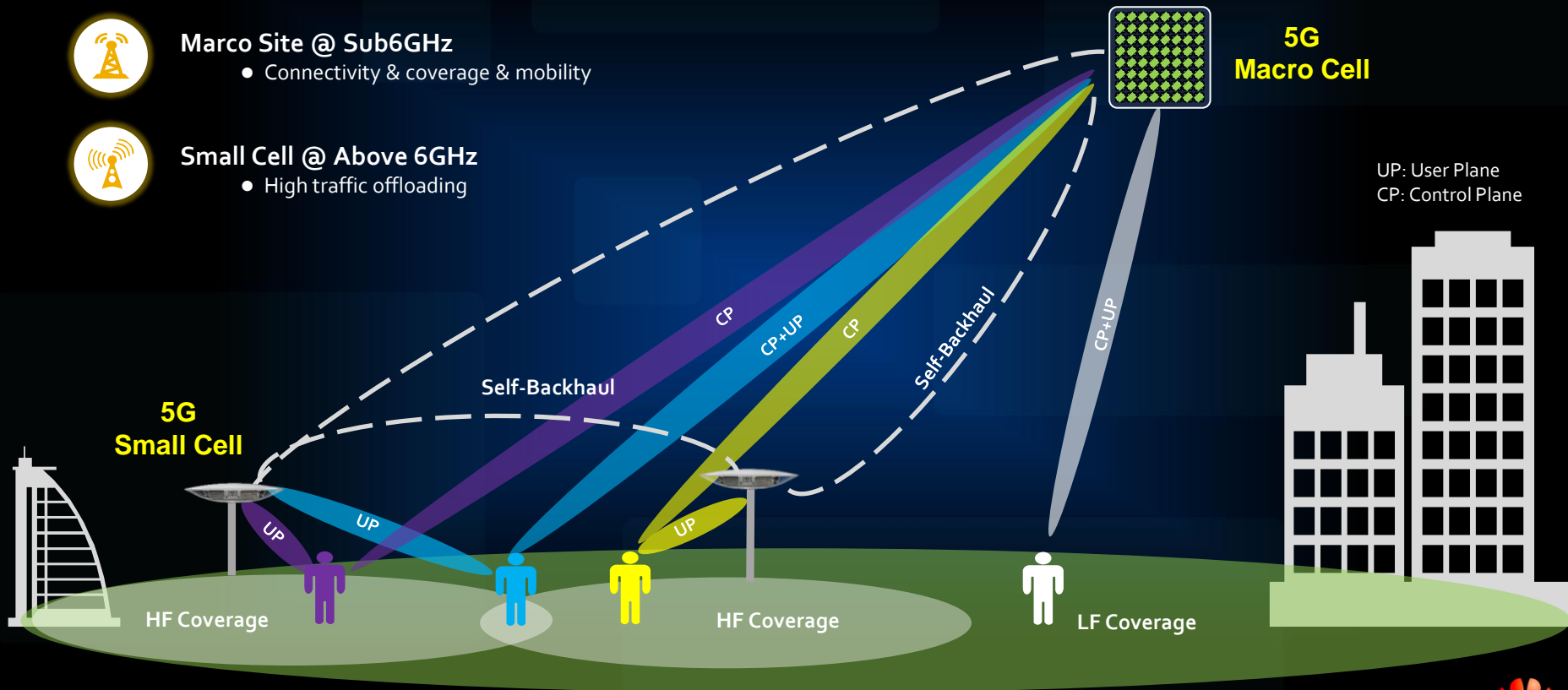
Marco Site @ Sub6GHz

- Connectivity & coverage & mobility



Small Cell @ Above 6GHz

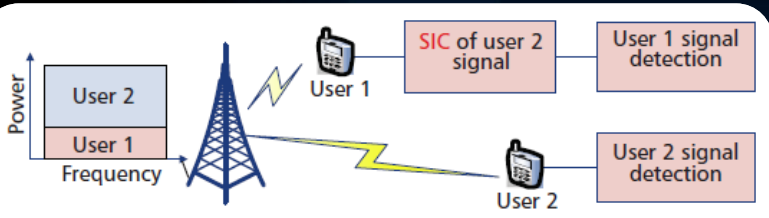
- High traffic offloading



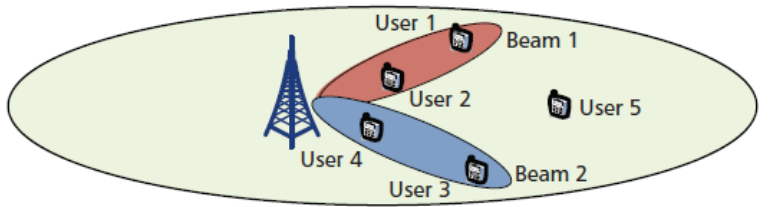
Multiple access techniques

Non-orthogonal multiple access (NOMA): time and frequency resources sharing in the same spatial layer via **power** or **code domain multiplexing**, e.g. SCMA, MUSA, LDS-OFDM, etc.

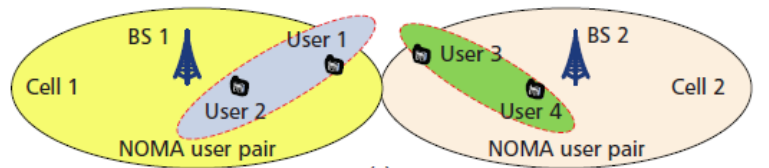
SIC = Successive Interference Cancellation



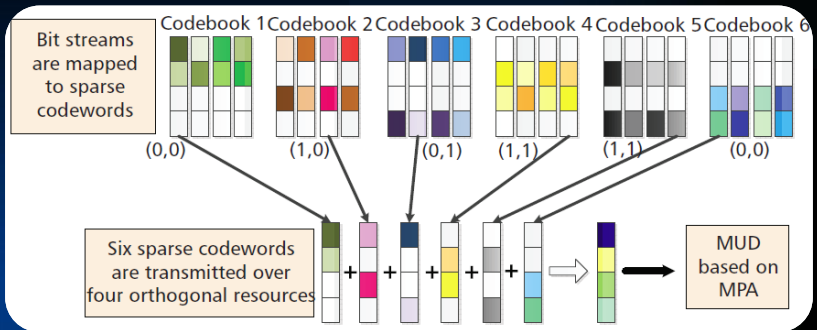
Basic NOMA: SIC receiver



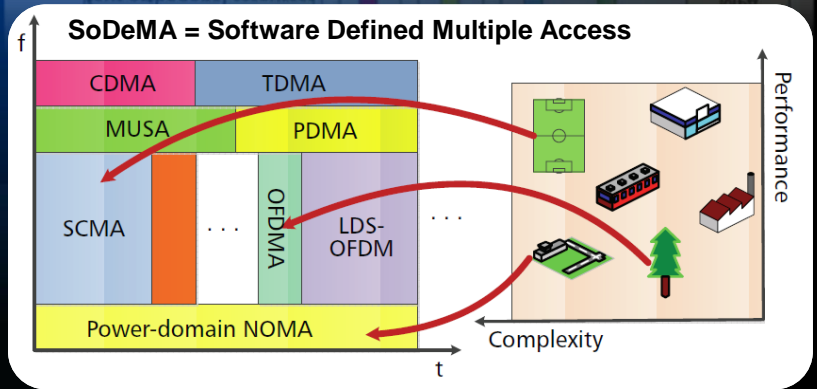
Spatial Filtering NOMA: Using 3D-BF, AAS, M-MIMO



Network NOMA: multi-user precoding



MPA = Message Passing Algorithm (MPA)



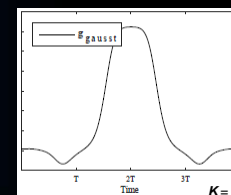
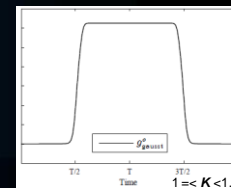
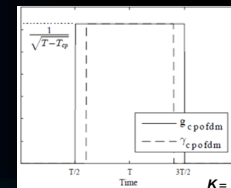
Ex: 6 Users, two bits mapped to a complex codeword, which are then multiplexed over four shared orthogonal resources (e.g. OFDM subcarriers)

Advanced waveforms

- **Per-subcarrier pulse shaping**: using prototype filter with steep power roll-off for shaping subcarrier signals in both frequency and time domain
- **Sub-band filtering**: applying filters to a group of subcarriers after OFDM modulation

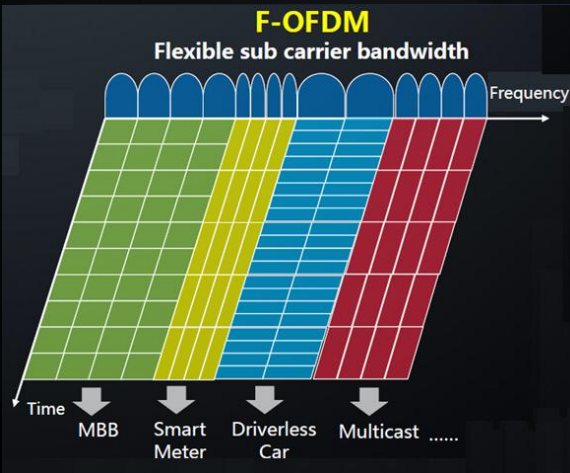
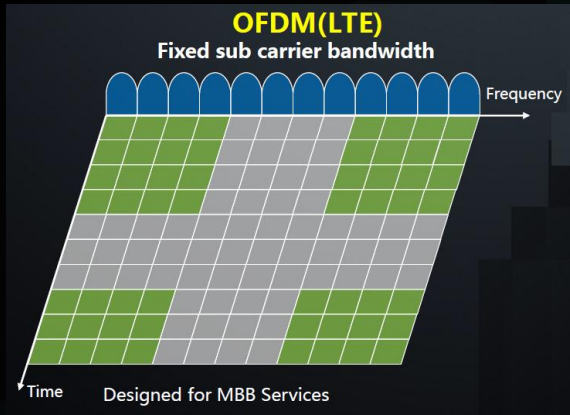
Pulse shape design parameters			Waveform Name	
Pulse length	Pulse shapes	Localization		
$K=1$	Rectangular	Time	CP-OFDM	F-OFDM (*)
$K=1$ (N_{FFT} long)	Rectangular	Time	ZP-OFDM	UF-OFDM (*)
$1 \leq K < 1.5$	Various	Time + Frequency	W-OFDM	
$K=4$	Long pulse	Time + Frequency	FBMC/QAM	
Arbitrary K	Various	Flexible	P-OFDM	

(*) Additional band pass filter needed



The choice of either one of the two variants depends on the required degree of spectral and temporal confinement

Filtered-OFDM (F-OFDM)



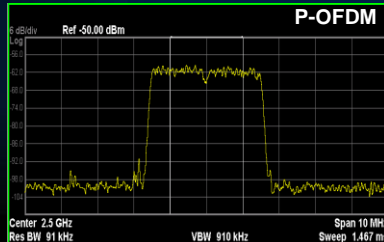
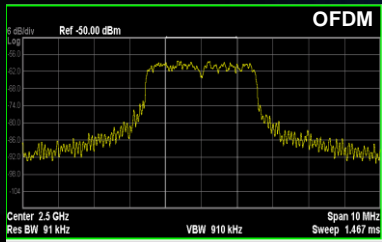
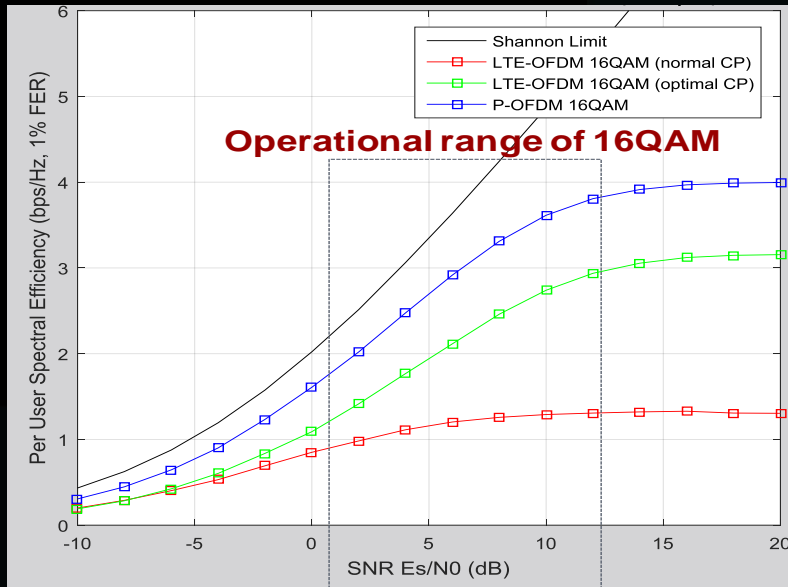
Pros

- ✓ Multi-service with different time and frequency numerology (e.g. CP, sub-carrier spacing (symbol duration), TTI at different carrier frequencies)
- ✓ Low out-of-band emission (OOBE)
- ✓ Flexible frequency multiplexing
- ✓ Simple channel equalization
- ✓ Multi-antenna transmission
- ✓ Efficient spectrum utilization
- ✓ Affordable computational complexity
- ✓ Possibility to incorporate other waveforms
- ✓ Backward and forward compatibility

Cons

- ✗ Non-orthogonal in time and quasi-orthogonal in frequency
- ✗ Slightly more prone to delay-spread channels

Pulse shaped OFDM (P-OFDM)



Pros

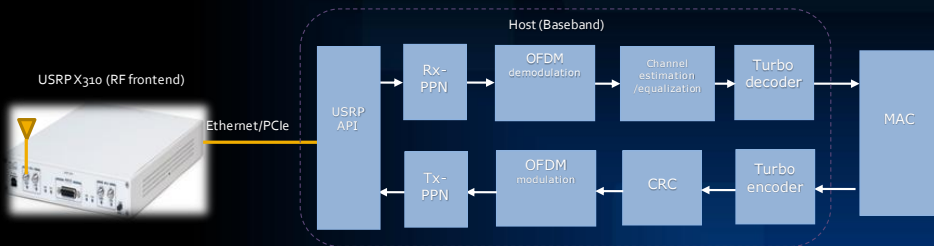
- ✓ Excellent OOB interference control and efficient utilization of narrow frequency bands
- ✓ Partitioning of spectrum into independent bands with excellent capabilities for coexistence of services in the same frequency band and spectrum sharing
- ✓ Any modulation order and MIMO capability
- ✓ Excellent robustness against synchronization errors
- ✓ Flexible frame structure with large subcarrier spacing for high Doppler in Vehicle to Anything (V2X) applications
- ✓ Short TTI length for low latency scenarios and one way ping delay < 0.5 ms

Cons

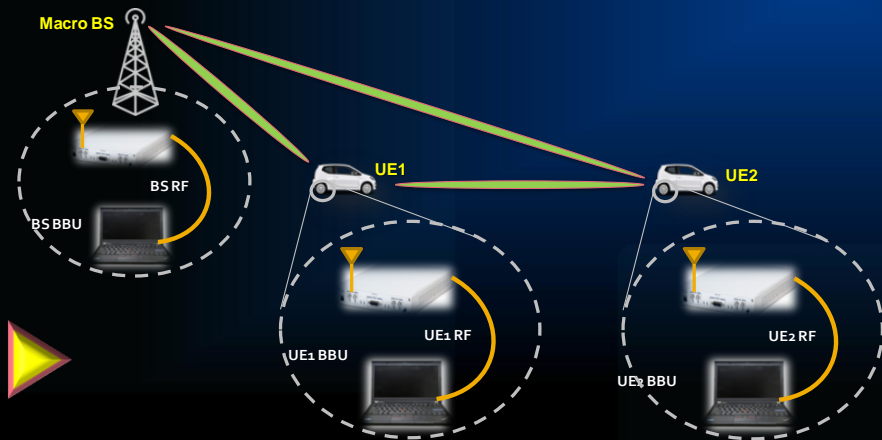
- ✗ Filter length may be limited by delay constraints

V2X P-OFDM Based Low Latency Real-Time (Demonstration)

Optimized baseband processing running on Intel platform x86_64
USRP SDR as RF frontend

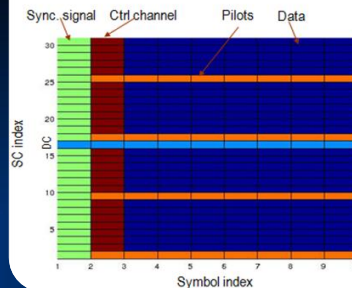


Enabling D2D and cellular assisted D2D access



Flexible Frame structure:

Large subcarrier spacing for high Doppler in V2X applications
Short TTI length for low latency scenarios



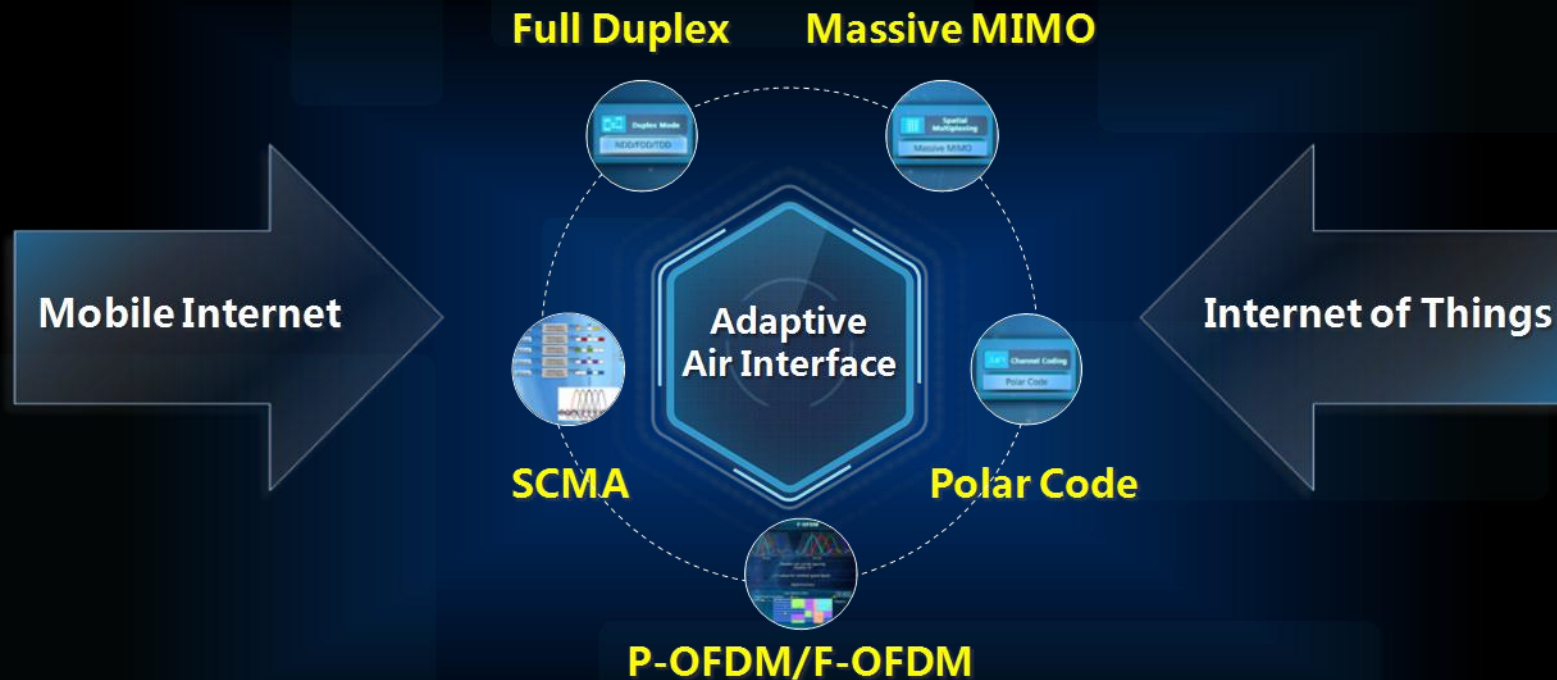
Parameter	Initial Value
Sampling rate:	12.5MHz
FFT size:	128
Over sampling	5/4
Symbol length	160
Subcarrier spacing:	97.656kHz
Effective SC	Adjustable, 30 here for example
Bandwidth	Adjustable, 3 MHz here for example
Number of symbol per TTI	Adjustable, 10 here for example
TTI length:	Adjustable, 0.17 ms here for example
Sync. signal	1 PN
Pilot distribution	Distance of 8 RE in freq, 1 RE in time, flexible depending on the channel
Modulation:	BPSK, QPSK, 16QAM, 64QAM
Coding:	1/3 (LTE Turbo)

One way ping delay < 0.5 ms



New air interface

Service Oriented Radio (SOR): choosing different air interface components for different applications



One air interface fits **many** applications with high **flexibility**,

at least a **3x** spectral efficiency improvement

Huawei 5G Low Band Test Bed

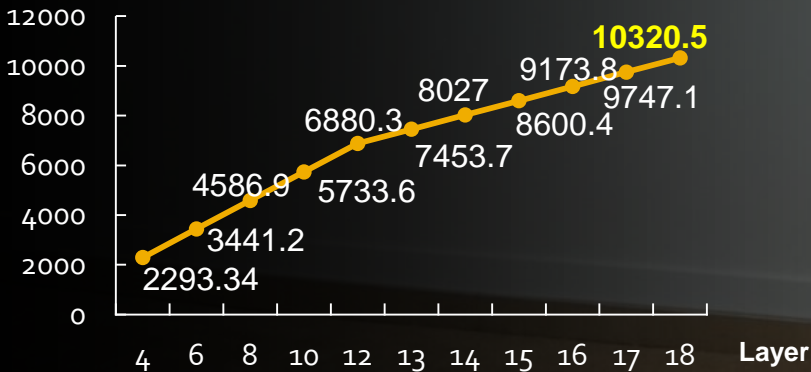
World's Highest Throughput @ Sub6G

10

32

Gbps

Mbps



51.6 bps/Hz

200MHz BW

18 Layers

F-OFDM

+

SCMA

+

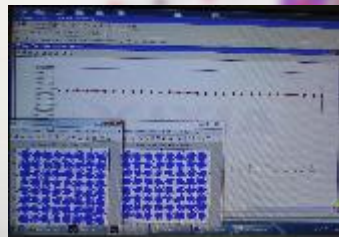
Polar Code

+

M-MIMO

Huawei 5G High Band Test Bed

World's Highest Throughput @ E-Band



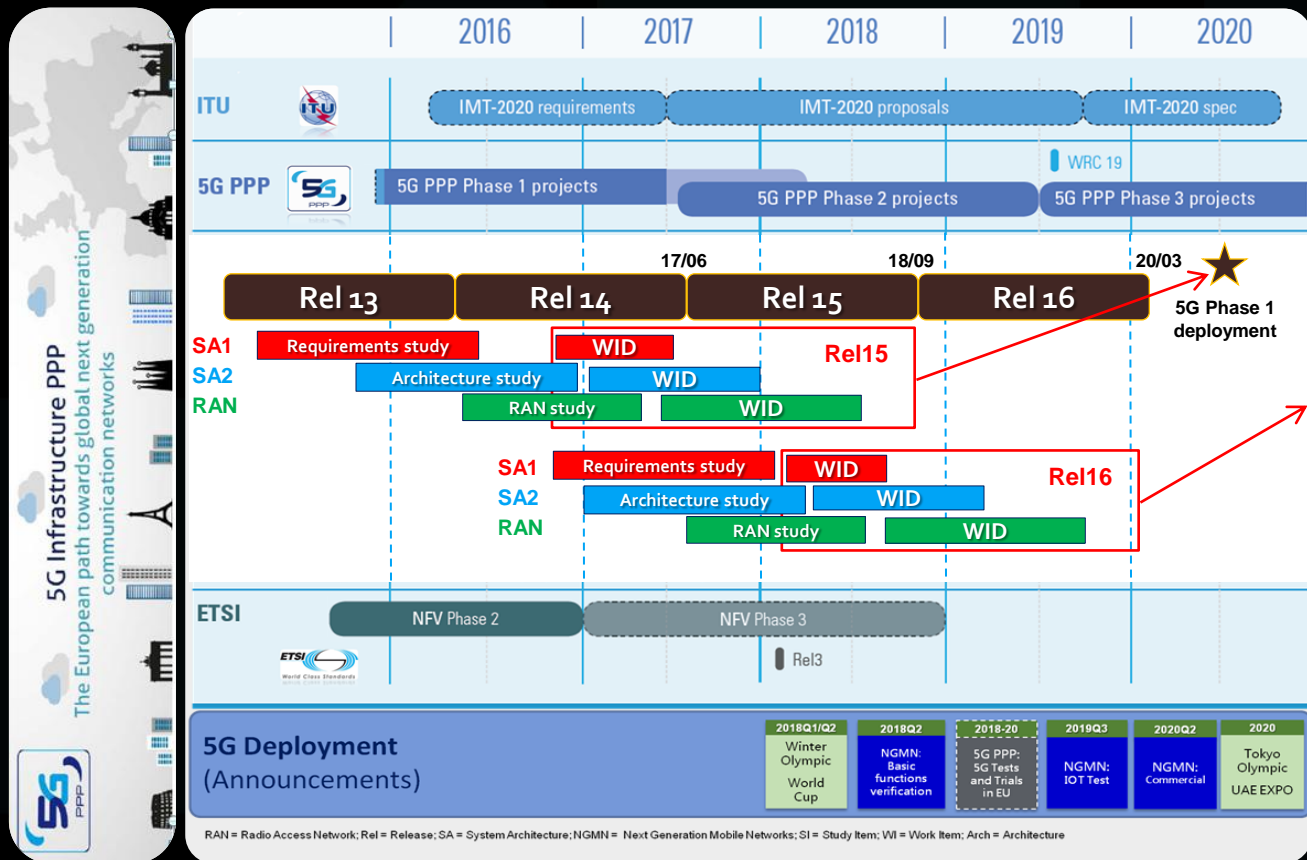
115

Gbps

9.6GHz BW



5G timeline



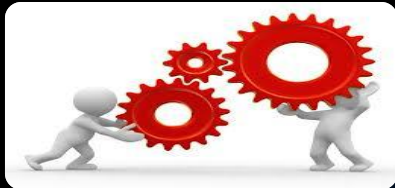
3GPP timeline

- **Phase 1 by Sep 2018/Rel-15** for more urgent commercial needs (to be agreed)
→ **Deployment 2H2020**
 - **Phase 2 by Mar 2020/Rel-16** for all identified use cases/requirements:
→ **Deployment 2H2021**
- NB: New Radio (NR) design forward compatible** so that features can be added in optimal way in later releases

5G Infrastructure PPP
The European path towards global next generation communication networks



Conclusions



5G tests and trials with Verticals essential step towards effective standardization



3GPP primary organization and others – such as, e.g., ONF and IETF – complementary



Public party crucial role in early consensus (e.g. 5GPPP), policies, regulatory processes



IP Rights shall not hinder 5G technologies adoption and market uptake

Thank you

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- 3) H. Cao, A. R. Ali, S. Gangakhedkar, Z. Zhao, "[5G V2X communication based on P-OFDM waveform](#)," 20th International ITG Workshop on Smart Antennas, Munich, Germany, March 2016.
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